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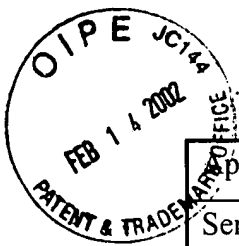
SECTer #13

Applicant(s)	Willem Engelse, et al.	<b>TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY)</b>
Serial No.	09/935,193	
Filing Date	August 22, 2001	
Group Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket No.	100.226US01	
Title: MONITORING UPSTREAM FREQUENCY BAND		

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

**COPY OF PAPERS  
ORIGINALLY FILED**

Enclosures					
<b>The following documents are enclosed:</b>					
<input checked="" type="checkbox"/> Communication Regarding Missing Parts (1 pg.).					
<input checked="" type="checkbox"/> A Copy of the Notice to File Missing Parts of Nonprovisional Application (2 pgs.).					
<input checked="" type="checkbox"/> A signed Combined Declaration and Power of Attorney (5 pgs.).					
<input checked="" type="checkbox"/> A Petition for a Two-Month Extension of Time (1 pg.).					
<input checked="" type="checkbox"/> A check in the amount of \$400 for the extension fee.					
<input checked="" type="checkbox"/> A check in the amount of \$1886 for the filing fee.					
<input checked="" type="checkbox"/> A check in the amount of \$130 for the Missing parts surcharge.					
<input checked="" type="checkbox"/> A return postcard.					
 <b>Please charge any additional fees or credit any overpayments to Deposit Account No. 501373.</b>					
<b>CUSTOMER NO. 27073</b>					
Submitted By					
Name	David N. Fogg	Reg. No.	35,138	Telephone	(612) 312-2200
Signature				Date	February 1, 2002
Attorneys for Applicant Fogg Slifer Polglaze Leffert & Jay PA P.O. Box 581009 Minneapolis, MN 55458-1009 T: 612-312-2200 F: 612-312-2250					
Certificate of Mailing					
I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on February 1, 2002.					
Name	Frayda M. Nitschke	Signature			



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Applicant(s)	Willem Engelse, et al.	<b>COMMUNICATION RE: <u>MISSING PARTS</u></b>
Serial No.	09/935,193	
Filing Date	August 22, 2001	
Group Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket No.	100.226US01	
Title: MONITORING UPSTREAM FREQUENCY BAND		

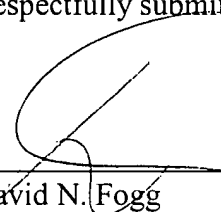
Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

In response to the Notice to File Missing Parts of Application mailed October 1, 2001 (copy enclosed), we submit a check in the amount of \$1,886.00 for the filing fee. Also enclosed is a check in the amount of \$130.00 for the missing parts surcharge. The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

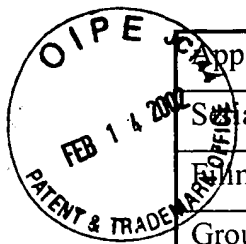
Please charge any further fees deemed necessary or credit any overpayment to Deposit Account No. 501373.

Respectfully submitted,

Date: February 1, 2002

  
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David N. Fogg  
Reg. No. 35,138

Attorneys for Applicant  
Fogg Slifer Polglaze Leffert & Jay, PA  
P.O. Box 581009  
Minneapolis, MN 55458-1009  
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#3

Applicant(s)	Willem Engelse, et al.	<b><u>PETITION FOR A TWO-MONTH EXTENSION OF TIME</u></b>
Serial No.	09/935,193	
Filing Date	August 22, 2001	
Group Art Unit	Unknown	
Examiner	Unknown	
Attorney Docket No.	100.226US01	
Title: MONITORING UPSTREAM FREQUENCY BAND		

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Washington, D.C. 20231

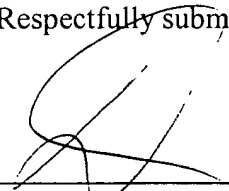
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In accordance with the provisions of 37 C.F.R. § 1.136(a), it is respectfully requested that a two-month extension of time be granted in which to respond to the Notice to File Missing Parts mailed October 1, 2001, said period of response being extended from December 1, 2001 to February 1, 2002.

Our check in the amount of \$400.00 is enclosed to cover the required extension fee under 37 C.F.R. §1.17(a). Please charge any additional fees or credit any overpayment to Deposit Account No. 501373.

Respectfully submitted,

Date: February 1, 2002

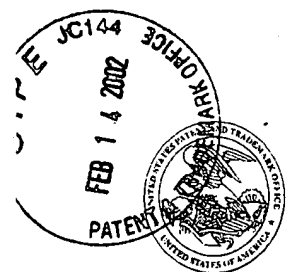
  
\_\_\_\_\_  
David N. Fogg  
Reg. No. 35,138

Attorneys for Applicant  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,193	08/22/2001	Willem Engelse	100.226US01

CONFIRMATION NO. 8750

27073  
FOGG SLIFER & POLGLAZE, P.A.  
P.O. BOX 581009  
MINNEAPOLIS, MN 55458-1009

## FORMALITIES LETTER



\*OC000000006824578\*

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$1146.
  - \$666 for 37 total claims over 20.
  - \$480 for 6 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1986.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

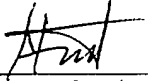
- o Substitute drawings in compliance with 37 CFR 1.84 because:

02/20/2002 CNGUYEN 00000031 501373 09935193  
02 FC:101 740.00 DP  
03 FC:103 666.00 DP  
04 FC:105 130.00 DP  
05 FC:102 24.00 CH 480.00 DP

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE